



ACH Authorization Agreement Guide

Hennion & Walsh offers a **FREE** service that enables the transferring of funds between a client-designated bank account and their brokerage account.

This service provides many different options to conveniently accommodate each client's individual needs. Below is some helpful information regarding the available options.

PERIODIC TRANSFERS

A. Deposits to Bank from Brokerage

Periodically deposit a specific amount to your bank OR periodically withdraw a specific amount from your bank into your Hennion & Walsh account. These deposits or withdrawals may be set up on a daily, weekly, monthly, quarterly, or annual basis.

OR (the combination of both A & B is not permitted)

B. Variable Transfer Distributions from Brokerage Account to Bank Account

Deposit all dividends and/or interest you receive from securities held in your brokerage account directly into the bank account you indicate on the form. This will be done automatically according to the frequency selected.

AND/OR (option C can be used solely, or in combination with A or B)

C. ACH on Demand

- a. Deposit money into your bank account "On Demand" (Only upon your verbal authorization). This option is automatically selected on the form.
- b. Withdrawal is usually used to settle the purchase of security/securities ordered through your brokerage account by debiting the bank account indicated on the form. This debit is only instructed "On Demand" (Only upon your verbal authorization)

We hope you will take advantage of this **FREE** service. An ACH Authorization Agreement has been provided for your convenience. Please fill out the form and attach a voided check (checking) or deposit slip (savings account). Please verify that the account and routing transit numbers are correct. Starter checks will not be accepted. Recommended selections may be highlighted on the form for you.

If you should have any questions at all, please do not hesitate to call your financial advisor. As always, thank you for your continued confidence and business.

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